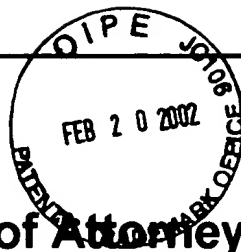


COPY OF PAPERS  
ORIGINALLY FILEDDocket No.  
DANI-0005USCON

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD FOR THE DIRECT ESTERIFICATION OF SORBITOL WITH FATTY ACIDS**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on April 30, 2001 as United States Application No. or PCT International Application Number 09/845,233 and was amended on \_\_\_\_\_

(if applicable)

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Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

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☐

**10/15/99**

(Filing Date)

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**October 12, 2000**

## Abandoned

(Filing Date)

(Status)  
(patented, pending, abandoned)

(Filing Date)

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**John L. Knoble - Registration No. 32,024**

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**Jianzhong Shen - Registration No. 48,076**

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**Eight Penn Center, Suite 1350**  
**1628 John F. Kennedy Blvd.**  
**Philadelphia, PA 19103**

Direct Telephone Calls to: *(name and telephone number)*  
**Kevin J. Dunleavy at 215-599-0600.**

Full name of sole or first inventor <b>Paul McGrane</b>
Sole or first inventor's signature <i>Paul McGrane</i> Date <i>Nov. 2, 2001</i>
Residence <b>110 Beacon Street, Mountain View, CA 94040</b>
Citizenship <b>USA</b>
Post Office Address <b>Same as above.</b>

Full name of second inventor, if any <b>George W. Borden</b>
Second inventor's signature _____ Date _____
Residence <b>P.O. Box 304, Bat Cave, NC 28710</b>
Citizenship <b>USA</b>
Post Office Address <b>Same as above.</b>

Full name of third inventor, if any <b>Michael T. Wuesthoff</b>	
Third inventor's signature	Date
Residence <b>8 Monticello Court, Gales Ferry, CT 06335</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fourth inventor, if any <b>Gary J. Flynn</b>	
Fourth inventor's signature	Date
Residence <b>2 Hillside Drive, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fifth inventor, if any <b>James M. Anderson</b>	
Fifth inventor's signature	Date
Residence <b>41 Chambers Road, Danbury, CT 06811</b>	
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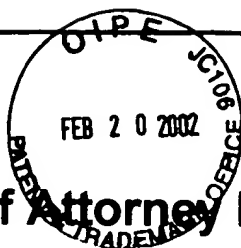
Full name of sixth inventor, if any <b>John Teixeira</b>	
Sixth inventor's signature	Date
Residence <b>44 Whitney Road, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of seventh inventor, if any <b>Pankaj Shah</b>	
Seventh inventor's signature	Date
Residence <b>29668 Environ Circle, Lake Bluff, IL 60044</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
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Priority Not Claimed

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**10/15/99**

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Full name of sole or first inventor <b>Paul McGrane</b>	
Sole or first inventor's signature	Date
Residence <b>110 Beacon Street, Mountain View, CA 94040</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of second inventor, if any <b>George W. Borden</b>	
Second inventor's signature	Date
Residence <b>P.O. Box 304, Bat Cave, NC 28710</b>	
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Post Office Address <b>Same as above.</b>	



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Third inventor's signature	Date
Residence <b>8 Monticello Court, Gales Ferry, CT 06335</b>	
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Post Office Address <b>Same as above.</b>	

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Fourth inventor's signature	Date
Residence <b>2 Hillside Drive, Mystic, CT 06355</b>	
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Post Office Address <b>Same as above.</b>	

Full name of fifth inventor, if any <b>James M. Anderson</b>	
Fifth inventor's signature <i>James M. Anderson</i>	Date <i>11/2/01</i>
Residence <del>41 Chambers Road, Danbury, CT 06811</del> <i>41 H ELM ST, APT 4, NEWMILFORD, CT 06776</i>	
Citizenship <b>USA</b>	
Post Office Address <del>Same as above.</del> <i>P.O. Box 2, KENT, CT 06757</i>	

Full name of sixth inventor, if any <b>John Teixeira</b>	
Sixth inventor's signature	Date
Residence <b>44 Whitney Road, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of seventh inventor, if any

**Pankaj Shah**

Seventh inventor's signature

Date

Residence

**29668 Environ Circle, Lake Bluff, IL 60044**

Citizenship

**USA**

Post Office Address

**Same as above.**

Full name of eighth inventor, if any

Eighth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of ninth inventor, if any

Ninth inventor's signature

Date

Residence

Citizenship

Post Office Address

Full name of tenth inventor, if any

Tenth inventor's signature

Date

Residence

Citizenship

Post Office Address

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FEB 20 2002

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60/159,563	10/15/99
(Application Serial No.)	(Filing Date)
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(Application Serial No.)	(Filing Date)

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09/687,908	October 12, 2000	Abandoned
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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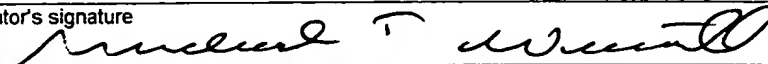
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Sole or first inventor's signature	Date
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Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

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Second inventor's signature	Date
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Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of third inventor, if any <b>Michael T. Wuesthoff</b>	
Third inventor's signature 	Date <b>Nov. 5, 2001</b>
Residence <b>8 Monticello Court, Gales Ferry, CT 06335</b>	
Citizenship <b>USA</b>	
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Fourth inventor's signature	Date
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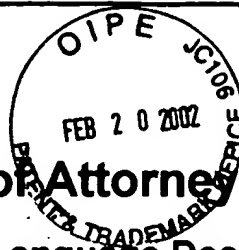
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Sixth inventor's signature	Date
Residence <b>44 Whitney Road, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of seventh inventor, if any <b>Pankaj Shah</b>	
Seventh inventor's signature	Date
Residence <b>29668 Environ Circle, Lake Bluff, IL 60044</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
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Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
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(Application Serial No.)

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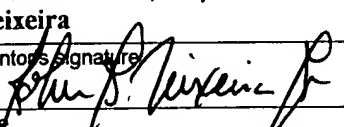
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Seventh inventor's signature	Date
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Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

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Residence	
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Ninth inventor's signature	Date
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\_\_\_\_\_  
(Day/Month/Year Filed)

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\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

<u>60/159,563</u>	<u>10/15/99</u>
(Application Serial No.)	(Filing Date)
 <u>                    </u>	 <u>                    </u>
(Application Serial No.)	(Filing Date)
 <u>                    </u>	 <u>                    </u>
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>09/687,908</u>	<u>October 12, 2000</u>	<u>Abandoned</u>
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**Kevin J. Dunleavy - Registration No. 32,024**

**John L. Knoble - Registration No. 32,024**

**Kevin J. Dunleavy - Registration No. 37,009**

**Jianzhong Shen - Registration No. 48,076**

**Send Correspondence to: KNOBLE & YOSHIDA, LLC**  
**Eight Penn Center, Suite 1350**  
**1628 John F. Kennedy Blvd.**  
**Philadelphia, PA 19103**

**Direct Telephone Calls to: (name and telephone number)**  
**Kevin J. Dunleavy at 215-599-0600.**

Full name of sole or first inventor <b>Paul McGrane</b>	
Sole or first inventor's signature	Date
Residence <b>110 Beacon Street, Mountain View, CA 94040</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of second inventor, if any <b>George W. Borden</b>	
Second inventor's signature	Date
Residence <b>P.O. Box 304, Bat Cave, NC 28710</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

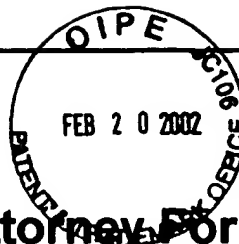
Full name of third inventor, if any <b>Michael T. Wuesthoff</b>	Date
Third inventor's signature	
Residence <b>8 Monticello Court, Gales Ferry, CT 06335</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fourth inventor, if any <b>Gary J. Flynn</b>	Date
Fourth inventor's signature <i>Gary J. Flynn</i>	<b>11/15/01</b>
Residence <b>2 Hillside Drive, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fifth inventor, if any <b>James M. Anderson</b>	Date
Fifth inventor's signature	
Residence <b>41 Chambers Road, Danbury, CT 06811</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of sixth inventor, if any <b>John Teixeira</b>	Date
Sixth inventor's signature	
Residence <b>44 Whitney Road, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	



COPY OF PAPERS  
ORIGINALLY FILEDDocket No.  
DANI-0005USCON

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD FOR THE DIRECT ESTERIFICATION OF SORBITOL WITH FATTY ACIDS**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on April 30, 2001 as United States Application No. or PCT International Application Number 09/845,233 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
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Post Office Address <b>Same as above.</b>	

Full name of second inventor, if any <b>George W. Borden</b>	
Second inventor's signature	Date
Residence <b>P.O. Box 304, Bat Cave, NC 28710</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of third inventor, if any <b>Michael T. Wuesthoff</b>	Date
Third inventor's signature	
Residence <b>8 Monticello Court, Gales Ferry, CT 06335</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fourth inventor, if any <b>Gary J. Flynn</b>	Date
Fourth inventor's signature	
Residence <b>2 Hillside Drive, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

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Fifth inventor's signature	
Residence <b>41 Chambers Road, Danbury, CT 06811</b>	
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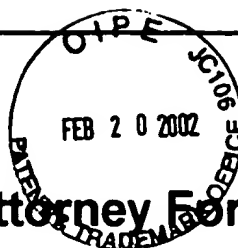
Full name of sixth inventor, if any <b>John Teixeira</b>	Date
Sixth inventor's signature	
Residence <b>44 Whitney Road, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of seventh inventor, if any <b>Pankaj Shah</b>	
Seventh inventor's signature <i>Pankaj S. Shah</i>	Date <b>11/6/01</b>
Residence <b>29668 Environ Circle, Lake Bluff, IL 60044</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

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Priority Not Claimed

(Number)

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Post Office Address <b>Same as above.</b>	

Full name of second inventor, if any <b>George W. Borden</b>	
Second inventor's signature <i>George W. Borden</i>	Date <i>11/7/2001</i>
Residence <b>P.O. Box 304, Bat Cave, NC 28710</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	



Full name of third inventor, if any <b>Michael T. Wuesthoff</b>	
Third inventor's signature	Date
Residence <b>8 Monticello Court, Gales Ferry, CT 06335</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fourth inventor, if any <b>Gary J. Flynn</b>	
Fourth inventor's signature	Date
Residence <b>2 Hillside Drive, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of fifth inventor, if any <b>James M. Anderson</b>	
Fifth inventor's signature	Date
Residence <b>41 Chambers Road, Danbury, CT 06811</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of sixth inventor, if any <b>John Teixeira</b>	
Sixth inventor's signature	Date
Residence <b>44 Whitney Road, Mystic, CT 06355</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of seventh inventor, if any <b>Pankaj Shah</b>	
Seventh inventor's signature	Date
Residence <b>29668 Environ Circle, Lake Bluff, IL 60044</b>	
Citizenship <b>USA</b>	
Post Office Address <b>Same as above.</b>	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of ninth inventor, if any	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor, if any	
Tenth inventor's signature	Date
Residence	
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